

10/03/00  
Jc894 U.S. PTO

10-04-00

10/03/00  
Jc894 U.S. PTO  
10/03/00

Please type a plus sign (+) inside this box → ☐

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	4646.002
	First Inventor or Application Identifier	Cox, Alan L.
	Title	STORAGE AND RETRIEVAL SYSTEM FOR WEB CACHE
	Express Mail Label No.	EL157448505US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages <b>19</b> ] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul>
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>4</b> ]	<b>ACCOMPANYING APPLICATION PARTS</b>
4. Oath or Declaration [Total Pages <b>4</b> ] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none"><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</li></ul></li></ul>	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
<b>* NOTE FOR ITEMS 1 &amp; 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b>	8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney
	9. <input type="checkbox"/> English Translation Document (if applicable)
	10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
	11. <input type="checkbox"/> Preliminary Amendment
	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	13. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
	14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	15. <input type="checkbox"/> Other: _____

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_  
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>17. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer Number or Bar Code Label _____ or <input type="checkbox"/> Correspondence address below _____ (Insert Customer No. or Attach bar code label here)					
Name	C. Dale Ouisenberry Goldstein & Healey, LLP				
Address	1177 West Loop South, 10th Floor				
City	Houston	State	TX	Zip Code	77027
Country	USA	Telephone	(713) 877-1515	Fax	(713) 877-1145

Name (Print/Type)	C. Dale Ouisenberry	Registration No. (Attorney/Agent)	36,643
Signature		Date	

Burden Hour Statement: This form is estimated to take 9.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
COX, ALAN L., et al )  
)  
Serial No.: ) Group Art Unit:  
)  
Filed: Herewith ) Examiner:  
)  
For: STORAGE AND RETRIEVAL SYSTEM )  
FOR WEB CACHE )

GENERAL AUTHORIZATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING 37 C.F.R. § 1.10	
I hereby certify that this document and its attachments are being deposited with the United States Postal Service, "Express Mail" service, as Express Mail No. EM045512628US in an envelope addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231, on the date below:	
<u>10-02-00</u> Date	<u><i>Elaine Barnes</i></u> Signature

Sir:

Pursuant to 37 C.F.R. § 1.136(a)(3), Applicant authorizes the Commissioner to treat any concurrent or future reply that requires a petition for an extension of time as incorporating a petition for an extension of time for the appropriate length of time, assuming the required petition is not separately submitted with the reply. If no check is submitted with the reply, or if a check is submitted but it is insufficient to cover the required fee, then the Commissioner is authorized to charge the required fee to Deposit Account No. 20-1299, Order No. 4646.002/CDQ.



C. Dale Quisenberry  
Goldstein & Healey, L.L.P.  
1177 West Loop South, 10th Floor  
Houston, Texas 77027  
(713) 877-1515  
(713) 877-1145 (Facsimile)

# 2025 RELEASE UNDER E.O. 14176

**Box PATENT APPLICATION**  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Case Docket No. 4646.002/CDQ

921 U.S. PTO  
09/677979  
10/03/00

Sir:

Transmitted herewith for filing is the patent application of Inventors, Alan L. Cox, et al., for *STORAGE AND RETRIEVAL SYSTEM FOR WEB CACHE*, which includes:

- ☒ A specification consisting of: A title page; an 17 -page disclosure; 5 pages of claims; and a one-page abstract of the disclosure; totaling 19 pages.
- ☒ 4 sheets of informal drawings.
- ☒ Declaration of the Inventors.
- ☒ Power of Attorney.
- ☒ A Verified Statement Claiming Small Entity Status.
- ☒ A self-addressed, stamped postcard showing receipt.
- ☒ General Authorization.
- ☒ 5 Assignments and Recordation Cover Sheets.
- ☒ Certificate of Mailing by Express Mail No. EM045512628US.

CLAIMS AS FILED	Small Entity	No Small Entity
Total Claims 39 - 20 = 19	x \$ 9.00 = \$153.00	or x \$18.00 = \$0
Independent Claims 5 - 3 = 2	x \$ 39.00 = 78.00	or x \$78.00 = \$0
Multiple Dependent Claim Presented	0	or 0
BASIC FEE	\$345.00	or \$ 690.00
<b>TOTAL FILING FEE:</b>	<b>\$576.00</b>	<b>\$0</b>

This firm's check in the amount of **\$576.00** is enclosed (the basic fee of \$345.00; 19 claims @ \$9= \$153.00; = Total \$576.00). Also, enclosed is our firm's check in the amount of **\$200.00** (fees for five (5) Assignments @\$40.00). However, if the check is missing or insufficient, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment, to **Account No. 20-1299; Order No. 4646.002/CDQ**. Two copies of this transmittal cover are enclosed for your convenience.

Please address all correspondence in connection with this application to: **C. DALE QUISENBERRY (FILE 4646.002/CDQ); GOLDSTEIN & HEALEY, L.L.P.; 1177 West Loop South, 10th Floor; Houston, TX 77027; Telephone--(713) 877-1515; Facsimile--(713) 877-1145.**

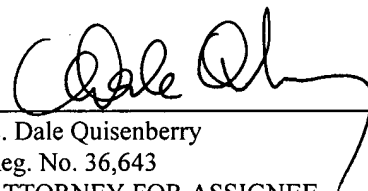
**CERTIFICATE OF MAILING**  
37 C.F.R. 1.10

I hereby certify that this correspondence is being deposited with the U. S. Postal Service as Express Mail Post Office to Addressee Service, as Express Mail No. EL157448505US prior to the last scheduled pickup, in an envelope addressed to: Assistant Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231, on the date indicated below.

Date

Signature

**GOLDSTEIN & HEALEY, L.L.P.**

  
C. Dale Quisenberry  
Reg. No. 36,643  
ATTORNEY FOR ASSIGNEE